



08/267108
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKETT NO.
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08/267,108 06/27/94 MARSHALL

P 15076

24M1/1221

KYLE, C EXAMINER

PAUL LEMPEL
KENYON & KENYON
ONE BROADWAY
NEW YORK, NY 10004

ART UNIT	PAPER NUMBER
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2411

DATE MAILED:

10F2
12/21/95

EXAMINER INTERVIEW SUMMARY RECORD

All participants (applicant, applicant's representative, PTO personnel):

(1) Johnathan S. Caplan (3) _____
(2) Charles Kyle (4) _____

Date of interview 12/14/95

Type: ☒ Telephonic ☐ Personal (copy is given to ☐ applicant ☐ applicant's representative).

Exhibit shown or demonstration conducted: ☐ Yes ☐ No. If yes, brief description: _____

Agreement ☐ was reached with respect to some or all of the claims in question. ☐ was not reached.

Claims discussed: 104, 106, 123, 124, 125

Identification of prior art discussed: _____

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Mr. Caplan described the real time operation of the invention as being possible because of the preprocessing of financial data, as by systems such as CAPRI. He stated this distinguishes over CAD & Molecular model VR as being real time.

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

☐ 1. It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph below has been checked to indicate to the contrary, A FORMAL WRITTEN RESPONSE TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW (e.g., items 1-7 on the reverse side of this form). If a response to the last Office action has already been filed, then applicant is given one month from this interview date to provide a statement of the substance of the interview.

☐ 2. Since the examiner's interview summary above (including any attachments) reflects a complete response to each of the objections, rejections and requirements that may be present in the last Office action, and since the claims are now allowable, this completed form is considered to fulfill the response requirements of the last Office action. Applicant is not relieved from providing a separate record of the substance of the interview unless box 1 above is also checked.

Charles R. [Signature]
Examiner's Signature



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EXAMINER

ART UNIT	PAPER NUMBER
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10

DATE MAILED:

20F2

EXAMINER INTERVIEW SUMMARY RECORD

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(1) Jonathan S. Caplan (3) _____
(2) Charles Kyle (4) _____

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Type: ☒ Telephonic ☐ Personal (copy is given to ☐ applicant ☐ applicant's representative).

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Claims discussed: claim 34 104, 106, 123, 124, 125

Identification of prior art discussed: _____

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: Art of record

doesn't suggest preprocessing by financial analytic system to provide VR decisional help (See 103 rejection), per Mr. Caplan. Examiner notes that real time and preprocessing are not claimed.

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Charles R. J. [Signature]
Examiner's Signature